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24024

**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application	on of:	
	Byrd et al.	Confirmation No.: 3693
Serial No.:	10/700,264	
Filed:	November 3, 2003 )	
For:	CYTOGENETIC ABNORMALITIES THAT ARE PREDICTIVE OF RESPONSE TO THERAPY FOR CHRONIC LYMPHOCYTIC LEUKEMIA	Docket. No.: 18525-04052

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

# RESPONSE TO NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Dear Sir:

In response to the Notice to Comply With Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures mailed March 14, 2005, Applicant respectfully asserts that there are no sequences set forth in the application which meet the requirements set forth in 37 C.F.R. §§ 1.821-1.825 for submission of a Sequence Listing. Accordingly, Applicants respectfully request withdrawal of the request.

Please grant any extensions of time required to enter this Response and charge any additional required fees to our deposit account 03-0172.

Date May 13 2005

Respectfully submitted,

Diane H. Dobrea, Reg. No. 48,578

(216) 622-8485

Customer Number 24024

Pareby certify that this document is being deposited with 6 2005 the U.S. Postal Service on this 13th day of May, 2005, with sufficient postage as first class mail in an envelope as dressed to Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.
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Name: Signed: Customer Number

**PATENT** 

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re applicati	on of:	
••	Byrd et al.	Confirmation No.: 3693
Serial No.:	10/700,264	
Filed:	November 3, 2003	
For:	CYTOGENETIC ABNORMALITIES THAT ARE )	
	PREDICTIVE OF RESPONSE TO THERAPY )	Docket. No.:
	FOR CHRONIC LYMPHOCYTIC LEUKEMIA )	18525-04052
	,	

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

#### RESPONSE TO NOTICE TO FILE MISSING PARTS

Dear Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application dated March 14, 2005, Applicant respectfully submits the following:

- 1) Fee Transmittal (in duplicate, 2 pages),
- A check for \$65 for small entity late oath or declaration surcharge, 2)
- Copy of Notice to File Missing Parts of Non-provisional Application; 3)
- Two (2) executed Powers of Attorney; 4)
- One executed Declaration (3 pages); 5)
- 6) Statement Regarding Sequence Listing; and
- 7) Return receipt postcard.

Please grant any extensions of time required to enter this Response and charge any additional required fees to our deposit account 03-0172.

Respectfully submitted,

Diane H. Dobrea, Reg. No. 48,578

(216) 622-8485

Customer Number 24024

PTO/SB/17 (12-04v; Approved for use through 07/31/2006. OMB 0651-003  U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERC  Under the Flaperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number						
Effective on 12/08/2004.  An Track or	Complete if Known					
	Application Number	10/700,264				
FEE TRANSMITTAL	Filing Date	03 November 2003				
For FY 2005	First Named Inventor	Byrd				
Applicant claims small entity status. See 37 CFR 1.27	Examiner Name	not yet assigned				
	Art Unit	not yet assigned				
TOTAL AMOUNT OF PAYMENT (\$) 65.00	Attorney Docket No.	18525/04052				
METHOD OF PAYMENT (check all that apply)						
Check Credit Card Money Order No						
<u> </u>		ame: Calfee, Halter & Gris	swold			
For the above-identified deposit account, the Director is he Charge fee(s) indicated below			611 f			
		) indicated below, except for the	ne filing fee			
Charge any additional fee(s) or underpayments of feuron under 37 CFR 1.16 and 1.17		•				
WARNING: Information on this form may become public. Credit card in information and authorization on PTO-2038.	formation should not be in	cluded on this form. Provide cred	it card			
FEE CALCULATION						
BASIC FILING, SEARCH, AND EXAMINATION FEES     FILING FEES SEARCH FEES EXAMINATION FEES     Small Entity Small Entity      Small Entity    Small Entity						
Application Type Fee (\$) Fee (\$)	Small Entity Fee (\$) Fee	(\$) Fee (\$) Fee:	s Paid (\$)			
Utility 300 150 500	250 20	0 100				
Design 200 100 100	50 13	0 65				
Plant 200 100 300	150 16					
Reissue 300 150 500	250 60					
Provisional 200 100 0	0	0 0	414.			
2. EXCESS CLAIM FEES Fee Description		Small Er Fee (\$) Fee (\$				
Each claim over 20 (including Reissues)		50 25				
Each independent claim over 3 (including Reissues)		200 100 360 180				
Multiple dependent claims  Total Claims  Extra Claims  Fee (\$)  Fe	e Paid (\$)	Multiple Dependent				
- 20 or HP = x =	<u> </u>		Paid (\$)			
HP = highest number of total claims paid for, if greater than 20.						
Indep. Claims Extra Claims Fee (\$) Fee Paid (\$)  - 3 or HP = x =						
HP = highest number of independent claims paid for, if greater than 3.						
3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer						
listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50						
sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).  Total Sheets  Extra Sheets  Number of each additional 50 or fraction thereof  100 = /50 = (round up to a whole number) x =						
4. OTHER FEE(S)  Non-English Specification, \$130 fee (no small entity discount)  Fees Paid (\$)						
Other (e.g., late filing surcharge): late declaration surcharge, small entity 65.00						

SUBMITTED BY			
Signature	XX	Registration No. (Attorney/Agent) 48,578	Telephone 216-622-8485
Name (Print/Type)	Diane H. Dobrea		Date May 13, 2005

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.





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APPLICATION NUMBER

**SUITE 1400** 

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/700,264

CANFUL CALFEE HALTER & GRISWOLD, LLP

800 SUPERIOR AVENUE

CLEVELAND, OH 44114

11/03/2003

John Byrd

18525/04052

**CONFIRMATION NO. 3693** 

**FORMALITIES LETTER** 

OC000000015439117\*

Date Mailed: 03/14/2005

05/17/2005 SFELEKEI 00000028 10700264 FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01 FC:2051

MAY 1 6 2005

65.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

## **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

To Download Patentin Software, visit http://www.uspto.gov/web/patents/software.htm For questions regarding compliance to these requirements, please contact: RECEIVED

For Rules Interpretation, call (571) 272-0951

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- For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for Patentin Software Program Help @ ebc@uspto.gov

## **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$65 for a Small Entity

• \$65 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

**Commissioner for Patents** 

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE